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<b>INFORMATION DISCLOSURE TRANSMITTAL</b>		Docket No. LOT9-2001-0008
Applicant:	Steven L. Rohall and Seymour Kellerman	
Serial No:	09/995,151	
Filed:	November 27, 2001	
For:	METHOD AND APPARATUS FOR MAINTAINING THREADS IN ELECTRONIC MAIL	
Examiner:	Not Yet Assigned	
Art Unit:	Not Yet Assigned	

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, DC 20231 on February 14, 2002.

Frances M. Cunningham

Assistant Commissioner for Patents  
Washington, DC 20231

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to bring information to the attention of the Examiner. The filing of this statement shall not be construed as a representation that a search has been made or as an admission that this information is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

**Enclosures**

- ☒ A form PTO-1449 listing this information is attached
- ☒ A copy of each document cited is enclosed.
- ☐ Copies of the cited documents are not enclosed because

**Fees**

- ☒ This statement is filed before the later of (1) three months of (i) the filing of a national application or (ii) the entry date for the national stage of an international application or (2) the mailing date of a first office action on the merits. No fee is due.
- ☐ This statement is filed before the mailing date of a final office action, a notice of allowance or an action that otherwise closes prosecution, *and*
  - ☐ The submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, *or*
  - ☐ The following certification is made:
    - ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, *or*
    - ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement

after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

- ☐ This statement is filed on or before payment of the issue fee, the submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, *and*
- ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

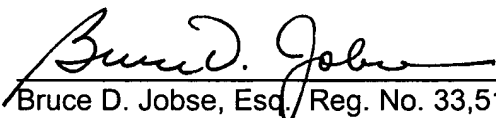
**Payment**

- ☐ A check in the amount of the submission fee is enclosed.
- ☐ Charge Account No. DA-12-2158 in the amount of the submission fee. A duplicate of this transmittal sheet is attached.

**Authorization to Charge Additional Fees**

- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §1.16 and §1.17 required by the attached paper and during the entire pendency of this application to Account No. DA-12-2158.

Respectfully submitted,



Date: 2/14/02

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## Docket No. LOT9-2001-0008

Serial No: 09995,151

Filed: FEB 27 2002 Herewith

For: **METHOD AND APPARATUS FOR MAINTAINING THREADS IN ELECTRONIC**

MAIL

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

[illegible][illegible]Date  
Considered

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 1 of 1**

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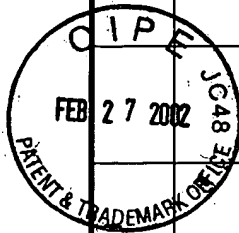
MAIL

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**OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS**

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		Bederson, B. and J. Hollan, "Pad++: A Zooming Graphical Interface for Exploring Alternate Interface Physics," Proceedings of UIST'94, Marina del Rey, CA, November 2-4, 1994, pp. 17-26.	<input type="checkbox"/>
		Derthick, M. and S. Roth, "Data Exploration across Temporal Contexts," Proceedings of IUI'00, New Orleans, LA, January 9-12, 2000, pp. 60-67.	<input type="checkbox"/>
		Donath, J., K. Karahalios, and F. Viegas, "Visualizing Conversations," Proceedings of HICSS-32, Maui, HI, January 5-8, 1999.	<input type="checkbox"/>
		Ducheneaut, N. and V. Bellotti, "E-mail as Habitat," Interactions, 8(5), Sept.-Oct. 2001, ACM, pp. 30-38.	<input type="checkbox"/>
		Jovicic, S., and R. Baecker, "Time-Based Archiving and Retrieval of Email," Workshop on History-Keeping in Computer Applications, Human-Computer Interaction Laboratory, University of Maryland, December 3, 1999.	<input type="checkbox"/>
		Kudo, M., M. Tanaka, and Y. Koseki, "Information Visualization for Electronic Mail Management," Proceedings of Visual'97, San Diego, CA, December 15-17, 1997.	<input type="checkbox"/>
		Levitt, M., "Email Usage Forecast and Analysis, 2000-2005," IDC Report # W23011, September 2000.	<input type="checkbox"/>
		"Pitney Bowes Study Reveals Increased Use of Electronic Communications Tools Among North American and European Workers," Pitney Bowes press release, August 7, 2000.	<input type="checkbox"/>
		Sack, W., "Conversation Map: A Content-Based Usenet Newsgroup Browser," Proceedings of IUI'00, New Orleans, LA, January 9-12, 2000, pp. 233-240.	<input type="checkbox"/>



	Smith, M., J. Cadiz, and B. Burkhalter, "Conversation Trees and Threaded Chats," Proceedings of CSCW'00, Philadelphia, PA, December 2-6, 2000, pp. 97-106.	
	Smith, M. and A. Fiore, "Visualization Components for Persistent Conversations," Proceedings of CHI'01, Seattle, WA, March 31-April 5, 2001, pp. 136-143.	
	"The Spam Within: Gartner Says One-Third of Business E-Mail is 'Occupational Spam'," Gartner, Inc. press release, April 19, 2001.	
	Venolia, G. Danielle, Dabbish, L., Cadiz, J.J. and Gupta A., "Supporting Email Workflow", 1991.	
	Whitaker, S. and Sidner, C., "Information Overload Leads to Overloaded Email: An Empirical Study of Email Usage", Technical Report 1995-11, pp.1-8.	
	Lewis, David D. and Knowles, Kimberly A., "Threading Electronic Mail: A Preliminary Study", pp. 1-15, 1997; also published Information Processing Management, 33:2, 209-217, 1997.	
	IBM Research Disclosure No. 420121, April 1999, p. 569.	

Examiner Signature		Date Considered	
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